

REQUEST FOR PROPOSAL (RFP)

FOR SERVICES

Project Title:	FSM Enabling Direct Access Programme
Nature of the Services	Consultancy Services - FSM Adaptation Measure Prioritisation Framework
Location:	Home Based with travel to the Federated States of Micronesia
Date of issue:	28/06/2023
Closing Date:	30/07/2023
SPC Reference:	RFP 23-5477]

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Part 1: INTRODUCTION

1.1 About the Pacific Community (SPC)

The Pacific Community (SPC) is the principal scientific and technical organisation of the Pacific region, established by treaty in 1947 with the signing of the Agreement Establishing the South Pacific Commission (the Canberra Agreement).

SPC has our headquarters in Noumea, New Caledonia and has regional offices in Fiji, the Federated States of Micronesia and Vanuatu, as well as an office in France. SPC works across the Pacific and has staff in nearly all of our Pacific Island Country and Territory members.

SPC works for the well-being of Pacific people through the effective and innovative application of science and knowledge and is guided by a deep understanding of Pacific Island contexts and cultures. Our unique organisation covers more than 20 sectors and is renowned for knowledge and innovation in such areas as fisheries science, public health surveillance, geoscience and conservation of plant genetic resources for food security.

For more information about SPC and the work that we do, please visit our website: <https://www.spc.int/>.

1.2 SPC's procurement activities

SPC's procurement activities are guided by the principles of high ethical standards, value for money, open competition and social and environmental responsibility and are carried out under our Procurement Policy.

SPC's *Procurement Policy* provides the framework for ensuring that SPC obtains the best value for its purchases, in terms of both cost and quality; demonstrates financial probity and accountability to its members and development partners; manages and prevents the potential for conflicts of interest; reduces its environmental impact and manages any other risks.

At SPC, all procurement follows the same main steps: planning; statement of needs; requisition; solicitation; evaluation; award; receipt; and payment. Different procedures apply depending on the value of the goods, services and works to be procured.

For further information or enquiries about SPC's procurement activities, please visit the procurement pages on our website: <https://www.spc.int/procurement> or email: procurement@spc.int.

1.3 SPC's Request for Proposal (RFP) Process

At SPC, procurement valued at more than EUR 45,000 must be advertised through a Request for Proposal (RFP) with any bids received evaluated by SPC's Procurement Committee to determine the offer that provides the best value for money.

This RFP sets out SPC's requirements and it asks you, as a bidder, to respond in writing in a prescribed format with pricing and other required information. The RFP contains detailed instructions and templates to enable you to submit a compliant bid. It sets out the overall timetable; it confirms the evaluation criteria that SPC will use to evaluate proposals; it explains the administrative arrangements for the receipt of the bids; and it sets out how bidders can request further information.

Your participation confirms your acceptance of SPC's conditions of participation in the RFP process.

Part 2: INSTRUCTIONS TO BIDDERS

2.1 Background

SPC invites you to submit a bid to deliver the services] as specified in [Part 3](#).

SPC has advertised this RFP on its website and may send it directly to potential vendors. The same specifications, submission and other solicitation requirements will be provided to all vendors.

SPC has compiled these instructions to guide prospective bidders and to ensure that all bidders are given equal and fair consideration.

Please read the instructions carefully before submitting your bid. For your bid to be considered, you must provide all the prescribed information by the closing date and in the format specified.

2.2 Submission instructions

Your submission must be clear, concise and complete and should only include information that is necessary to respond effectively to this RFP. Please note that you may be marked down or excluded from the procurement exercise if your submission contains any ambiguities or lacks clarity.

Your proposal must include the following documents (annexes of [Part 5](#) of the RFP):

- a) Bidder's Letter of Application (Annex 1);
- b) Conflict of Interest Declaration (Annex 2);
- c) Information about the bidder and Due diligence (Annex 3);
- d) Technical proposal submission form (Annex4);
- e) Financial proposal submission form (Annex 5).

Your proposal must be submitted in **two separate emails**.

You must submit your **Technical proposal** (Annexes 1 to 4 and all their supporting documents) in English as an attachment to one email. No financial information may appear in the technical proposal.

You must submit your **Financial proposal** (Annex 5) in a separate email. All prices in the proposal must be presented in USD]. Your Financial proposal is to be password protected. SPC will request the password in the event that it is required.

Both emails are to be sent to procurement@spc.int with the subject line of your email as: **Submission RFP 23-5477** .

Your proposal must be received no later than **30 July, 2023** by **11:45PM Pohnpei Time**. Only one bid per bidder is permitted.

SPC will send a formal acknowledgement to each proposal received before the deadline.

SPC reserves the right to exclude from consideration any proposal not received by the deadline, with incomplete information or in incorrect form.

2.3 Clarifications

You may submit questions or seek clarifications on any issue relating to this RFP. The questions are to be submitted in writing to procurement@spc.int with the subject line: **Clarification RFP 23-5477**. The deadline for submission of clarifications is **14/07/2023** by **5.00 PM Pohnpei Time**.

Details will be kept of any communications between SPC and bidders. This assists SPC to ensure

transparency of the procurement process. While SPC prefers written communication in the RFP process, at any point where there is phone call or other conversation, SPC will keep a record or a file note of the exchange with prospective bidders.

2.4 Evaluation

Validity

Each proposal will be assessed for compliance with the submission requirements by the Bids Opening Committee. At this stage, basic due diligence will also be undertaken.

To assist in the examination, evaluation and comparison of proposals, SPC may ask the bidder for clarification of its proposal or additional information. The request for clarification will be in writing.

Technical

All valid proposals will be assessed against the technical evaluation criteria set out in Part 4. The criteria are provided with weighted scores according to the relative importance of each. SPC will not change the evaluation criteria set out in the RFP at any stage of the procurement process. Any changes in the evaluation criteria will result in the RFP process being re-issued.

Bidders are expected to familiarise themselves with local conditions and take these into account in preparing their proposal. Where minimum qualifications are set as specific evaluation criteria (which may include educational qualification, professional accreditation or certification, licensing, experience and expertise), proposals submitted must necessarily meet these criteria.

Financial

Any bids that pass the minimum technical evaluation requirements will pass onto financial evaluation.

During the financial evaluation, if there is a discrepancy between the unit price and the total price, the lower price shall prevail. If there is a discrepancy between words and figures the amount in words will prevail.

The total cost of the proposal must be submitted exclusive of taxes in accordance with the applicable legislation, and is not subject to revision.

2.5 Contract award

SPC may award the contract once the Procurement Committee has determined that a bidder has met the prescribed requirements and the bidder's proposal has been determined to be the most responsive to the RFP documents, provide the best value for money and best serve the interests of SPC.

SPC's [General Terms and Conditions of Contract](#) will apply to any contracts awarded under this RFP, unless otherwise agreed. Any requested changes to the General Terms and Conditions of Contract must be foreshadowed in the submission.

The award of the contract will be made by contract signed and dated by both parties.

2.6 Key dates

Please see the proposed procurement timetable in the table below. This timetable is intended as a guide only and while SPC does not intend to depart from the timetable, it reserves the right to do so at any stage.

STAGE	DATE
RFP advertised	28 June 2023
Deadline for seeking clarification	14 July 2023

RFP Closing Date	30 July 2023
Award of Contract	28 August 2023
Commencement of Contract	30 August 2023
Conclusion of Contract	29 February 2024

2.7 Legal and compliance

Child and vulnerable adult protection: SPC is committed to the well-being of children and vulnerable adults. All SPC contractors are required to commit to the principles of SPC’s Child and Vulnerable Adult Protection Policy ([XI.G Manual of Staff Policies](#)). Breach of this requirement can result in SPC terminating any contract with a successful bidder. Any allegations of potential misconduct in relation to this RFP involving children or vulnerable adults should be sent to complaints@spc.int.

Confidentiality: Unless otherwise agreed by SPC in advance or where the contents of the RFP are already in the public domain when **shared** with the bidder, bidders shall at all times treat the contents of the RFP and any related documents as confidential. SPC will also treat the information it receives from the bidders as confidential.

Conflict of interest: Bidders must take all necessary measures to prevent any situation of conflict of interest. You must notify SPC in writing as soon as possible of any situation that could constitute a conflict of interest during the RFP process. If you have any familial connection with SPC staff, this must be declared, and approval will then be sought for you to engage in the RFP process. Breach of this requirement can result in the exclusion of the bidder from the RFP process or in SPC terminating any contract with a successful bidder.

Cost of preparation of proposals: Under no circumstances will SPC be liable for any proposal submission costs, expenditure, work or effort that you may incur in relation to your provision of a proposal (including if the procurement process is terminated or amended by SPC).

Currency, validity, duties, taxes: Unless specifically otherwise requested, all proposals should be in USD and must be net of any direct or indirect taxes and duties and shall remain valid for 120 days from the closing date. The successful bidder is bound by their proposal for a further 60 days following notification they are the preferred bidder so that the contract may be awarded. No price variation due to escalation, inflation, fluctuation in exchange rates, or any other market factors shall be accepted at any time during this period.

Eligibility: Bidders are required to disclose to SPC whether they are subject to any sanction or temporary suspension imposed by any international organisation, or whether they are subject to bankruptcy proceedings. You may not be bankrupt or suspended, debarred, or otherwise identified as ineligible by any international organisation. Failure to disclose such information may result in debarment and termination of any contract issued to the bidder by SPC.

Fraud and corruption: SPC has zero tolerance for fraud and corruption. All contractors have an obligation to report potential fraud and corruption. Breach of this requirement can result in the exclusion of the bidder from the RFP process or in SPC terminating any contract with a successful bidder. Allegations of potential misconduct by an SPC staff member or contractor involving fraud or corruption can be sent to complaints@spc.int.

Good faith: The information in this RFP is provided by SPC in good faith. No representation, warranty, assurance or undertaking (express or implied) is or will be made, and no responsibility or liability will be accepted by SPC in relation to the adequacy, accuracy, completeness or reasonableness of this RFP or any information provided by SPC in relation to this RFP.

Modifications: Any clarifications, corrections or modifications will be published on the SPC website prior to

deadline. In the event a bidder has submitted a bid before the clarification, correction or modification, the bidder will be informed and may modify the bid. The modified bid will still need to be received before the deadline.

No offer of contract or invitation to contract: This RFP is not an offer to contract or an invitation by SPC to enter into a contract with you.

Privacy: The bidder is to comply with the requirements of applicable legislation and regulatory requirements in force for the use of personal data that is disclosed for the purposes of this RFP. SPC will handle any personal information it receives under the RFP in line with its [Privacy Policy](#), and the [Guidelines for handling personal information of bidders and grantees](#).

Right to amend, seek clarity, withdraw, not award: SPC reserves the right to: (1) amend, add to or withdraw all or any part of this RFP at any time, or to re-invite bids on the same or any alternative basis; (2) seek clarification or documents in respect of any bidder's submission; (3) choose not to award a contract as a result of this RFP; (4) make whatever changes it sees fit to the timetable, structure or content of the procurement process, depending on approvals processes or for any other reason. Please note that while SPC will not change the evaluation criteria set out in the RFP without the RFP process being re-issued, SPC does reserve the right at the time of award of contract to vary the quantity of services and goods specified in the RFP and to accept or reject any proposal at any time prior to award of the contract without incurring any liability to the affected bidder or any obligation to inform the affected bidder/s of the grounds for SPC's action.

Right to disqualify: SPC reserves the right to disqualify: (1) any bidder that does not submit a proposal in accordance with the instructions in this RFP; (2) any bidder that misrepresents information to SPC; (3) any bidder that directly or indirectly canvasses any SPC employee concerning the award of a contract.

Use of material: Bidders shall not use the contents of the RFP or any related material for any purpose other than for the purpose of considering submitting, or submitting, a bid to SPC.

Warranty, representation, assurance, undertaking: The bidder acknowledges and agrees that no person has any authority to give any warranty, representation, assurance or undertaking on behalf of SPC in connection with any contract which may (or may not) follow on from this RFP process.

2.8 Complaints process

Bidders that consider they were not treated fairly during any SPC procurement process may lodge a protest. The protest should be addressed to complaints@spc.int. The bidder must provide the following information: (1) full contact details; (2) details of the relevant procurement; (3) reasons for the protest, including how the alleged behaviour negatively impacted the bidder; (4) copies of any documents supporting grounds for protest; (5) the relief that is sought.

Part 3: Terms of Reference]

Background/context:

Background:

The aim of the proposed Enhanced Direct Access (EDA) Programme “**Climate change adaptation solutions for Local Authorities in the Federated States of Micronesia**” is to shift the status quo from a pathway of climate vulnerability, elevated health risks and limited socioeconomic development for vulnerable communities in the Federated States of Micronesia (FSM) to one of improved food and water security, enhanced disaster risk reduction (DRR) and recovery, and improved socioeconomic development by building the adaptive capacity of Local Authorities (LAs) to respond to climate change.

This ambitious programme – financed by the Green Climate Fund (GCF), the Government of FSM and the Pacific Community (SPC) – will address climate risks threatening socio-economic development improving food and water security, enhancing disaster risk reduction (DRR), and building capacity to respond to climate change at the local level. This will be achieved by:

- Empowering Local Authorities (State governments and municipalities) to deliver climate change adaptation services to communities.
- Establishing a grant facility to enable local communities to build resilience.

A Resilient Communities Grant Facility (RCGF) will fund sub-projects for local-level adaptation to climate change. Local Authorities will also receive technical and institutional support to enable them to better deliver on economic, social, environmental and gender-equitable outcomes for building adaptive capacity and resilience to the changing climate.

The RCGF will provide direct access to climate finance for **municipalities** and **State governments** across all four States in the Federated State of Micronesia. It will support 30–40 sub-projects of USD 75,000–1,000,000 in three thematic areas: i) DRR and Coastal Protection, ii) Food Security, and iii) Water Security. Approval of sub-projects will be based on the needs of vulnerable communities and the viability of the proposed interventions.

Summary of sub-project application process:

1. Expressions of Interest (EOIs) for sub-projects will be issued. There will be five EOIs over the lifetime of the programme.
2. Local Authorities submit applications in response to EOIs in a two-step process (concept and full proposal). Technical support for sub-project design will be provided, if needed.
3. Proposals will be screened against selection criteria and approved by the Programme Board.
4. The Local Authority will implement the sub-project activities in coordination with the Programme Coordination Unit.

Purpose, objectives, scope of services

Outcome 1 of the EDA Programme is focussed on empowering local authorities (municipalities and State Governments) to deliver climate change adaptation services to their populations. Key to this is building their technical expertise to identify and prioritise adaptation solutions. Therefore, there is a need for development of a climate adaptation decision support framework and training curriculum, including interactive case studies. This will empower local authorities with (a) scientific and technical knowledge and understanding to make informed decisions (b) understanding of climate change vulnerability and adaptation options to identify and prioritise adaptation actions.

The objective of this consultancy is to develop a national **FSM Adaptation Measure Prioritisation Framework and Training Curriculum**. The scope of this consultancy includes:

- **Review of existing tools and frameworks:** identify climate risk screening tools and identification of most appropriate systems in country for use in the Adaptation Measure Prioritization Framework.
- **Assessment of case studies** from within FSM and across the region to identify good lessons that can be contextualised in training manuals through relatable examples.
- **Carry out in-depth consultations at community level and across extension structures** to contextualise the framework to the adaptation needs of FSM in alignment with cultural norms and technical capacity levels.
- **Develop an FSM Adaptation Measure Prioritization Framework** Intensive Local Authority and community engagement will present approaches from existing and successful toolkits that be adapted into a national “FSM Adaptation Measure Prioritization Framework”. Existing toolkits that will be adapted include the Pacific Adaptation Tool¹, the UNDP Toolkit for Practitioners- Designing Climate Change Adaptation Initiatives², the CRiSTAL tool³ and the USAID’s Pacific Islands Development Program Small Grants Guide⁴.
- **Development of a training curriculum** informed by scientific data and analysis that will support LAs to identify and screen for climate change adaptation risks and identify appropriate adaptation measures.

¹ See: <https://apt.pacificclimatechange.net/>

² See: <https://www.adaptation-undp.org/resources/training-tools/designing-climate-change-adaptation-initiatives-toolkit-practitioners>

³ See: <https://www.iisd.org/cristaltool/>

⁴ USAID Pacific Islands Development Program “Small Grants Guide”; Available [here](#).

This Adaptation Measure Prioritization Framework and training curriculum is critical for two reasons:

- It will enable at LAs to identify and screen climate risks and pick appropriate adaptation responses in alignment with local contexts.
- Training will inform LAs on the framework and resources identified and provide an introduction to the EDA Facility to familiarize local government officials with the objectives of the facility, the process, eligibility criteria, and details of the three thematic areas for adaptation sub-grants to enable them to realise their adaptation needs.
- Upon compiling a draft document, this will be circulated through SPC to stakeholders for their review. A workshop will be held in each State to allow stakeholders to validate findings of the consultant before the report is finalized and accepted. SPC will support the consultants in organizing the validation workshop.

In addition, two other national consultants are also being recruited to focus primarily on a capacity assessment of State governments and municipalities. These findings will inform the development of a framework which is led by the international consultant. The two consultants will also assist this consultant in identifying existing climate frameworks.

Scope of Work Key responsibilities include:

- Develop an inception report including a schedule of consultations, method of consultations, and timelines for submission of deliverables. This includes an outline of the FSM Adaptation Measure Prioritization Framework, climate risk screening tool and
- Produce a draft FSM Adaptation Measure Prioritization Framework, climate risk screening tools and facilitate stakeholder feedback.
- Produce draft training curriculum and facilitate stakeholder feedback.
- Submit finalized document (FSM Adaptation Measure Prioritization Framework and training curriculum), incorporating stakeholder feedback after validation workshops. This includes a climate risk screening and tools training curriculum.

The consultant is expected to consult widely with:

- Municipalities, State governments, non-governmental organisations, community based groups;
- National government
- Private sector
- Development agencies in FSM
- Donors

The consultant is expected to visit all states of FSM at least once during consultation phase. The consultant is expected to participate physically in validation workshops. The consultant may be asked to share copies of previous work and participate in a brief interview as part of assessment.

Timelines

- The Consultant must complete the work defined in the scope of services for the Duration of consultancy as per the expected key deliverables.
- It is anticipated that the consultants contract will be valid till end of February 2024

The table below presents an indicative timeline for each expected deliverables:

Expected Key deliverable	Indicative Timeline
Acceptance of inception report (accepted by SPC)	September 2023
Draft FSM Adaptation Measure Prioritisation Framework and Climate risk screening tools.	November 2023
Draft Training curriculum and supporting documentation	December 2023
Finalised FSM Adaptation Measure Prioritisation Framework Climate Risk Screening Tools and Training Curriculum	January 2024

Reporting and contracting arrangements

This consultant will work under the overall supervision of the Program Coordinator, Enabling Direct Access Programme, also based at the Micronesia Regional Office.

The consultant will be home based. SPC will not cover any communication or IT equipment for the duration of the assignment. The consultant is to ensure stable internet connection for zoom interactions when necessary.

SPC will not cover separate lines for overheads/running costs within states, contingencies... If these apply, the costs are to be considered in the professional fees charged for the delivery of the specific services.

The consultant is expected to travel to FSM as part of this consultancy, with a trip at least 4-5 days to each of the four States (Kosrae, Pohnpei, Chuuk and Yap). SPC will oversee and organize travel to and from the home country (applicable for international Consultants) and traveling within the 4 states (Applicable for international and Local Consultants). SPC will pay semi flexible economy airfare. SPC will also provide DSA during travel within FSM. The DSA will be paid in accordance with the SPC's established rates when not in the home location. However, any expenses exceeding the specified rates shall be the sole responsibility of the consultant. Any other expenses shall be covered in the professional fees.

Any work-related expenses (software's, tools, office supplies etc...) shall be covered by the consultant.

SPC does not provide insurance for consultants travel or health, professional indemnity or any other risks or liabilities that may arise during the consultancy (this includes any subcontractors or associates the consultant may hire). SPC is also not responsible for any

arrangements or payments related to visas, taxes or duties for which the consultant may be liable.

Skills and qualifications

The consultant should have the following qualifications;

- A master’s degree in natural resource conservation and sustainable development
- At least 10 years relevant professional experience in conservation, climate change adaptation and development
- Knowledge and/or previous work experience in FSM is essential
- At least 1 year of on the groundwork experience in FSM
- Experience in undertaking previous consultancies
- Previous experience developing frameworks and /or curriculum.
- Experience in implementing and/or monitoring of development projects.
- Attention to detail and ability to work under tight deadlines
- Demonstrated understanding of the realities of project implementation in the States of FSM, including factors such as cultural, environmental, logistical and social factors
- Excellent inter-personal and communication skills;
- Proven track record of project management and project team experience working with government, NGOs, and other key stakeholders.
- Demonstrated knowledge and understanding of gender equity in FSM
- Demonstrates experience with community participatory processes
- Excellent report writing skills

Scope of Bid Price and Schedule of Payments

The contract will be based on lump sum payments based on milestones

The bidder must include in the computation of contract price which should include professional fees, management and operating costs, travel costs, per diems and any other administrative costs.

The terms of payment shall be in accordance with the provisions of Article 10 of the SPC General Conditions

Milestone/deliverables	Deadline	% payment
Acceptance of the inception report	11 September	10
Acceptance of draft FSM adaptation measure prioritization framework and climate risk screening tools	12 November	30
Acceptance of draft Training curriculum and supporting documentation	4 December	30
Finalised FSM adaptation measure prioritization framework (including climate risk screening tools and training curriculum)	31 January	30
TOTAL		100%

Part 4: PROPOSAL EVALUATION MATRIX

4.1 Evaluation criteria & Score Weight

A two-stage procedure will be utilised to evaluate the proposals, with evaluation of the **Technical proposal** being completed prior to any **Financial proposal** being opened and compared.

The competencies which will be evaluated are detailed in [Part 3](#).

The evaluation matrix below also reflects the obtainable score specified for each evaluation criterion (technical requirement) which indicates the relative significance or weight of the items in the overall evaluation process.

The technical component, which has a total possible value of 700 points, will be evaluated using the following criteria.

Evaluation criteria	Score Weight (%)	Points obtainable
Mandatory requirements		
E-mail 1: <ul style="list-style-type: none"> • Bidder’s Letter of Application (Annex 1); • Conflict of Interest Declaration (Annex 2); • Information about the bidder and Due diligence (Annex 3); • Technical proposal submission form (Annex 4); • Valid business license • Three References Email 2: <ul style="list-style-type: none"> • Financial proposal submission form (Annex 5). Password protected 		Bidders will be disqualified if any of the requirements are not met
Technical requirements		
<ul style="list-style-type: none"> ▪ Technical requirement 1: Proposed work plan is aligned with the requirements 	10%	70
<ul style="list-style-type: none"> ▪ Technical requirement 2: Demonstrated experience with community participatory processes. 	10%	70
<ul style="list-style-type: none"> ▪ Technical requirement 3: Demonstrated previous experience developing frameworks and /or curriculum. 	30%	210
<ul style="list-style-type: none"> ▪ Technical requirement 4: Demonstrated understanding of the realities of project implementation in the States of FSM, including factors such as cultural, environmental, logistical and social factors. 	30%	210
<ul style="list-style-type: none"> ▪ Technical requirement 5: Demonstrated experience in undertaking previous consultancies 	20%	140
Total Score	100%	700

4.2 Financial evaluation

The financial component of the proposal will be scored on the basis of overall costs for the delivery of the Service and financial incentives and benefits provided to SPC. The lowest financial proposal will be awarded maximum 300 points and other financial offers and incentives will be awarded points as per the formula below:

$$\text{Financial Proposal score} = (\text{Lowest Price} / \text{Price under consideration}) \times 300$$

Part 5: PROPOSAL SUBMISSION FORMS

Annex 1: BIDDER'S LETTER OF APPLICATION

Dear Sir /Madam:

Having examined the Solicitation Documents, the receipt of which is hereby duly acknowledged, we the undersigned, offer to supply the required Service for the sum as may be ascertained in accordance with the Financial Proposal attached herewith and made part of this proposal.

We acknowledge that:

- SPC may exercise any of its rights set out in the Request for Proposal documents, at any time;
- The statements, opinions, projections, forecasts or other information contained in the Request for Proposal documents may change;
- The Request for Proposal documents are a summary only of SPC's requirements and is not intended to be a comprehensive description of them;
- Neither the lodgement of the Request for Proposal documents nor the acceptance of any tender nor any agreement made subsequent to the Request for Proposal documents will imply any representation from or on behalf of SPC that there has been no material change since the date of the Request for Proposal documents, or since the date as at which any information contained in the Request for Proposal documents is stated to be applicable;
- Excepted as required by law and only to the extent so required, neither SPC, nor its respective officers, employees, advisers or agents will in any way be liable to any person or body for any loss, damage, cost or expense of any nature arising in any way out of or in connection with any representations, opinions, projections, forecasts or other statements, actual or implied, contained in or omitted from the Request for Proposal documents.

We undertake, if our proposal is accepted, to commence and complete delivery of all items in the contract within the time frame stipulated.

We understand that you are not bound to accept any proposal you may receive and that a binding contract would result only after final negotiations are concluded on the basis of the Technical and Financial Components proposed.

For the Bidder: *[insert name of the company]*

Signature:

Name of the Bidder's representative: *[insert name of the representative]*

Title: *[insert Title of the representative]*

Date: *[Click or tap to enter a date]*

Annex 2: CONFLICT OF INTEREST DECLARATION

INSTRUCTIONS TO BIDDERS

What is a conflict of interest?

A conflict of interest may arise from economic or commercial interests, political, trade union or national affinities, family, cultural or sentimental ties, or **any other type of relationship or common interest between the bidder and any person connected with the contracting authority** (SPC staff member, consultant or any other expert or collaborator mandated by SPC).

Always declare a conflict

The existence of a potential or apparent conflict of interest does not necessarily prevent the bidder concerned from taking part in a tender process. **However, the declaration of the existence of such a conflict by the persons concerned is essential and allows SPC to take appropriate measures to mitigate it and prevent the associated risks.**

Bidders are therefore invited to declare any situation, fact or link which, to their knowledge, could generate a real, potential or apparent conflict of interest.

Declaration at any time

Conflicts of interest may arise at any time during the procurement process or the implementation of a contract (e.g. new partner in the project) or as a result of a change in personal life (e.g. marriage, inheritance, financial transaction, creation of a company). If such a relationship is found and could be perceived by a reasonable person as likely to influence a decision, a declaration of the situation is necessary. In case of doubt, a conflict situation must be declared.

Declaration for any person involved

A declaration must be completed for each person involved in the tender (principal representative of the bidder, possible subcontractors, consultant, etc.)

Failure

Failing to declare a potential conflict of interest may result in the bidder being refused a contract or placed on SPC's list of non-responsible suppliers.

DECLARATION

I, the undersigned, *[name of the representative of the Bidder]*, acting in the name and on behalf of the company *[name of the company]*, declare that:

<input type="checkbox"/>	To my knowledge, I am not in a conflict-of-interest situation
<input type="checkbox"/>	There is a potential conflict of interest with regard to my <i>[Choose an item]</i> . relationship with <i>[name of the person concerned]</i> in his or her capacity as <i>position/role/personal or family link with the person concerned</i> , although, to the best of my knowledge, this person is not directly or indirectly involved in any stage of the procurement process
<input type="checkbox"/>	I may be in a conflict of interest with regard to my <i>[Choose an item]</i> relationship with <i>[name of the person concerned]</i> in his or her capacity as <i>position/role/personal or family link with the person concerned</i> , as this person is, to the best of my knowledge, directly or indirectly linked to the procurement process
<input type="checkbox"/>	To my knowledge, there is another situation that could potentially constitute a conflict of interest: <i>[Describe the situation that may constitute a conflict of interest]</i>

In addition, I undertake to:

- declare, without delay, to SPC any situation that constitutes a potential conflict of interest or is likely to lead to a conflict-of-interest;
- not to grant, seek, obtain or accept any advantage, whether financial or in kind, to or from any person where such advantage constitutes an unfair practice or an attempt at fraud or corruption, directly or indirectly, or constitutes a gratuity or reward related to the award of the contract;
- to provide accurate, truthful and complete information to SPC in connection with this procurement process.

I acknowledge that I and/or my company and/or my business partners who are jointly and severally bidding on the RFP *[SPC Reference]* may be subject to sanctions such as being placed on SPC's list of non-responsible vendors, if it is established that false statements have been made or false information has been provided.

For the Bidder: *[insert name of the company]*

Signature:

Name of the representative: *[insert name of the representative]*

Title: *[insert Title of the representative]*

Date: *[Click or tap to enter a date]*

Annex 3: INFORMATION ABOUT THE BIDDER AND DUE DILIGENCE

Please complete the following questionnaire and provide supporting documents where applicable.

VENDOR INFORMATION			
Are you already registered as an SPC vendor?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
1. Please provide information related to your entity.			
Company name	<i>[Enter company name]</i>	Address	<i>[Enter address]</i>
Director/CEO	<i>[Enter name of the executive person]</i>	Position	<i>[Enter position of the executive person]</i>
Business Registration/License number	<i>[Enter company registration/license number (or tax number)]</i>		
Date of business registration	<i>[Enter date of business registration]</i>		
Country of business registration	<i>[Enter country of business registration]</i>		
Status of the entity: <input type="checkbox"/> For-profit entity (company), <input type="checkbox"/> NGO, <input type="checkbox"/> International organisation, <input type="checkbox"/> Government body, <input type="checkbox"/> University, <input type="checkbox"/> Association, <input type="checkbox"/> Research Institute, <input type="checkbox"/> Other: <i>[insert details]</i>			
2. Please provide relevant documentation to support and verify the legal existence of the entity, the authority of its officer and proof of its address, such as:			
<input type="checkbox"/> Delegation of authority or power of attorney document <input type="checkbox"/> Certificate of business registration/license <input type="checkbox"/> Memorandum, Articles or Statutes of Association <input type="checkbox"/> Telephone, water, or electricity bill in the name of the entity <input type="checkbox"/> Bank account details bearing the name of the entity			
3. How many employees does your company and its subsidiaries have?		<i>[provide answer]</i>	
4. Do you have professional insurance against all risks in respect of your employees, sub-contractors, property and equipment?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If 'No', what type of business insurance do you have?</i>		<i>[provide answer]</i>	
5. Are you up to date with your tax and social security payment obligations?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If 'No', please explain the situation:</i>		<i>[Provide details]</i>	
6. Is your entity regulated by a national authority?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If 'Yes', please specify the name:</i>		<i>[Insert name of the national regulation authority]</i>	
7. Is your entity a publicly held company?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
8. Does your entity have a publicly available annual report?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>Please send SPC your audited financial statement from the last 3 financial years if available</i>			

DUE DILIGENCE			
9. Does your entity have foreign branches and/or subsidiaries?		<input type="checkbox"/> Yes	<input type="checkbox"/> No
<i>If you answered 'yes' to the previous question, please confirm the branches:</i>			
• Head Office & domestic branches		<input type="checkbox"/> Yes	<input type="checkbox"/> No
• Domestic subsidiaries		<input type="checkbox"/> Yes	<input type="checkbox"/> No
• Overseas branches		<input type="checkbox"/> Yes	<input type="checkbox"/> No
• Overseas subsidiaries		<input type="checkbox"/> Yes	<input type="checkbox"/> No
10. Does your entity provide financial services to customers determined to be high risk including but not limited to:			

Foreign Institutions	Financial	<input type="checkbox"/> Yes	<input type="checkbox"/> No	Casinos	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Cash Intensive Businesses		<input type="checkbox"/> Yes	<input type="checkbox"/> No	Foreign Government Entities	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Non-Resident Individuals		<input type="checkbox"/> Yes	<input type="checkbox"/> No	Money Service Businesses	<input type="checkbox"/> Yes	<input type="checkbox"/> No
<input type="checkbox"/> Other, please provide details:				[Provide details]		
11.If you answered 'yes' to any of the boxes in question 10, does your entity's policies and procedures specifically outline how to mitigate the potential risks associated with these higher risk customer types?					<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please explain how:				[Provide explanation]		
12.Does your entity have a written policy, controls and procedures reasonably designed to prevent and detect fraud, corruption, money laundering or terrorist financing activities?					<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please send SPC your policy in English.						
If 'No', what process does your entity have in place to prevent and detect money laundering or terrorist financing activities?				[provide answer]		
13.Does your entity have an officer responsible for anti-corruption, or anti-money laundering and counter-terrorism financing policy?					<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please state that officer's contact details:				[Insert name and contact details]		
14.Has your entity or any of its current or former directors or CEOs ever filed for bankruptcy?					<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please provide details:				[Provide details]		
15.Has your entity or any of its current or former directors or CEOs ever been the subject of any investigations or had any regulatory or criminal enforcement actions resulting from violations of any laws or regulations, including those relating to money laundering or terrorism financing?					<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please provide details:				[Provide details]		

SOCIAL AND ENVIRONMENTAL RESPONSIBILITY (SER)

16.Does your entity have a written policy, controls and procedures to implement its Social and Environmental Responsibility (SER) commitments?					<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please send SPC your policy in English.						
If 'No', what process does your entity have in place to ensure your social and environmental responsibility?				[provide answer]		
Does your Policy or Process cover the followings?						
<input type="checkbox"/> Child protection <input type="checkbox"/> Human rights <input type="checkbox"/> Gender equality <input type="checkbox"/> Social inclusion <input type="checkbox"/> Sexual harassment, abuse or exploitation <input type="checkbox"/> Environmental responsibility						
Please, outline the major actions you have undertaken in these areas:				[provide answer]		
17.Does your entity have an officer responsible for Social and Environmental Responsibility (SER)?					<input type="checkbox"/> Yes	<input type="checkbox"/> No
If 'Yes', please state that officer's contact details:				[Insert name and contact details]		

SUPPORTING DOCUMENTS (where relevant)

• Business registration/license proof	<input type="checkbox"/>
• Bank account details document	<input type="checkbox"/>
• Address of the entity and Authority of officer proofs	<input type="checkbox"/>
• Audited financial statement from the last 3 financial years	<input type="checkbox"/>
• Fraud, corruption, anti-money laundering and counter terrorist financing Policy	<input type="checkbox"/>
• SER Policy	<input type="checkbox"/>

I declare that the particulars given herein above are true, correct and complete to the best of my knowledge, and the documents submitted in support of this form are genuine and obtained legally from the respective issuing authority.

I declare that none of the funds received or to be received by my company will be used for criminal activities, including financing terrorism or money laundering.

By sending this declaration to SPC, I agree that my business and personal information may be used by SPC for due diligence purposes. I also understand and accept that SPC will treat any personal information it receives in connection with my proposal in accordance with its [Privacy Policy](#), and the [Guidelines for handling personal information of bidders and grantees](#).

For the Bidder: *[insert name of the company]*

Signature:

Name of the representative: *[insert name of the representative]*

Title: *[insert Title of the representative]*

Date: *[Click or tap to enter a date]*

Annex 4: TECHNICAL PROPOSAL SUBMISSION FORM

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Technical Requirements	
Evaluation criteria	Response by Bidder
Experience and specified personnel/sub-contractors	
References:	Details for three references:
	1. Client's name: <i>[insert name of client 1]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
	2. Client's name: <i>[insert name of client 2]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
	3. Client's name: <i>[insert name of client 3]</i>
	Contact name: <i>[insert name of contact]</i>
	Contact details: <i>[insert contact details]</i>
	Value contract: <i>[insert value of contract]</i>
Personnel:	Details about personnel/sub-contractors (if applicable)
	Manager's experience: <i>[insert details about manager's experience]</i>
	Sub-contractor's experience: <i>[insert details about sub-contractors experience]</i>
Technical Requirements:	
Proposed work plan is aligned with the requirements	<i>[Bidder's answer]</i>
Demonstrated experience with community participatory processes.	<i>[Bidder's answer]</i>
Demonstrated previous experience developing frameworks and /or curriculum.	<i>[Bidder's answer]</i>
Demonstrated understanding of the realities of project implementation, project management and project team in the States of FSM, including factors such as cultural, environmental, logistical,	<i>[Bidder's answer]</i>

and social factors.	
Demonstrate experience in undertaking previous consultancies on similar service and implementing and/or monitoring of development projects at State Level.	<i>[Bidder's answer]</i>

]

For the Bidder: *[insert name of the company]*

Signature:

Name of the representative: *[insert name of the representative]*
 Title: *[insert Title of the representative]*
 Date: *[Click or tap to enter a date]*

Annex 5: FINANCIAL PROPOSAL SUBMISSION FORM

<i>Name of the consultancy</i>	
<i>Services description</i>	<i>Lump Sum price Currency</i>
Professional fees for Services	<i>[unit price]</i>

Note that financial form shall be password.

Professional fees: Staff salaries, consultant fees and any other professional costs (with details on the level of effort of each person on the team if applicable. i.e. 50% full time, full-time, etc.).

SPC will not cover separate lines for overheads/running costs, contingencies... If these apply, the costs are to be considered in the professional fees charged for the delivery of the specific services.

The consultant is expected to travel to FSM as part of this consultancy, with a trip at least 4-5 days to each of the four States (Kosrae, Pohnpei, Chuuk and Yap). SPC will oversee and organize travel to and from the home country (Applicable for international Consultant) and traveling within the 4 states (Applicable for international and Local Consultants). SPC will pay semi flexible economy airfare. SPC will also provide DSA during travel within FSM when not in home location. The DSA will be paid in accordance with the SPC's established rates. However, any expenses exceeding the specified rates shall be the sole responsibility of the consultant. Any other expenses shall be covered in the professional fees.

Any work-related expenses (software's, tools, office supplies etc...) shall be covered by the consultant.

SPC does not provide insurance for consultants travel or health, professional indemnity or any other risks or liabilities that may arise during the consultancy (this includes any subcontractors or associates the consultant may hire). SPC is also not responsible for any arrangements or payments related to visas, taxes or duties for which the consultant may be liable.

The Consultant's will be home based. SPC will not cover any communication or IT equipment for the duration of the assignment. The consultant is to ensure stable internet connection for zoom interactions when necessary. SPC will organise the travel and will pay semi flexible economy airfares.

No payment will be made for items which have not been priced. Such items are deemed to be covered by the financial offer.

Bidders will be deemed to have satisfied themselves, before submitting their proposal and to its correctness and completeness, taking into account of all that is required for the full and proper performance of the contract and to have included all costs in their rates and prices.

For the Bidder: *[insert name of the company]*

Signature:

Name of the representative: *[insert name of the representative]*

Title: *[insert Title of the representative]*

Date: *[Click or tap to enter a date]*